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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/054,545	01/18/2002	Falko Tesch	P-5714	7142
7590	03/25/2005		EXAMINER	
Serge J. Hodgson Gunnison, McKay & Hodgson, L.L.P. Suite 220 1900 Garden Road Monterey, CA 93940				CAMPBELL, JOSHUA D
		ART UNIT		PAPER NUMBER
		2179		
DATE MAILED: 03/25/2005				

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/054,545	TESCH ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Joshua D Campbell	2179	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 18 January 2002.
- 2a) This action is FINAL.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-27 is/are pending in the application.
  - 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-27 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on 09 April 2002 is/are: a) accepted or b) objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
    - a) All    b) Some \* c) None of:
      1. Certified copies of the priority documents have been received.
      2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
      3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| <ol style="list-style-type: none"> <li>1)<input checked="" type="checkbox"/> Notice of References Cited (PTO-892)</li> <li>2)<input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3)<input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br/>           Paper No(s)/Mail Date <u>10/15 and 5/13/02</u>.         </li> </ol> | <ol style="list-style-type: none"> <li>4)<input type="checkbox"/> Interview Summary (PTO-413)<br/>           Paper No(s)/Mail Date. _____.</li> <li>5)<input type="checkbox"/> Notice of Informal Patent Application (PTO-152)</li> <li>6)<input type="checkbox"/> Other: _____.</li> </ol> |
|--|---|

**DETAILED ACTION**

1. This action is responsive to communications: Application filed on 01/18/2002, Information Disclosure Statements filed on 05/13/2002 and 10/15/2002, and Formal Drawings filed on 04/9/2002.
2. Claims 1-27 are pending in the case. Claims 1, 12, 16, 17, 18, 21, 24, and 27 are independent claims.

***Priority***

3. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

***Drawings***

4. The drawings were received on 04/09/2002. These drawings are accepted.

***Claim Rejections - 35 USC § 101***

5. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 18-20 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. The claims are non-statutory because the interface in the claims is not tangibly embodied in a manner so as to be executable. Further, a collection of cells and buttons, per se, is an abstract idea, directed solely to non-functional descriptive material, which is also non-statutory.

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

7. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

8. Claims 1-4, 9-12, 14, 15, 21, and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Madduri (US Patent Number 6,567,830, filed on February 12, 1999) in view of Durst et al. (hereinafter Durst, "Ruby Annotation" W3C Working Draft from December 17, 1999, included in IDS).

**Regarding independent claim 1,** Madduri discloses a method in which a first base text is selected from a document and displayed in a cell, which allows this first base text to be altered, the alteration being the text that appears in the cell (Figure 5

and 6, column 5, lines 24-67, column 6, lines 30-49 and column 6, line 66-column 7, line 12 of Madduri). Madduri also discloses that a user can add an annotation the base text (column 6, line 66-column 7, line 12 of Madduri). Madduri does not disclose a method in which the annotation is ruby text. However, Durst discloses that ruby text was a well-known annotation type (pages 2-5, Section 1.1 “What is a Ruby?” of Durst). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri with the teachings of Durst because it would have provided easy to find, short annotation in the immediate vicinity of content in publications.

**Regarding dependent claim 2,** Madduri discloses a method in which the annotation text can be edited (Figure 5 and 6, column 5, lines 24-67, column 6, lines 30-49 and column 6, line 66-column 7, line 12 of Madduri).

**Regarding dependent claims 3-4,** Madduri disclosed a method in which the annotation text is added to the display of the document, which already contains the base text (Figure 5 and 6, column 5, lines 24-67, column 6, lines 30-49 and column 6, line 66-column 7, line 12 of Madduri).

**Regarding dependent claim 9,** Madduri discloses a method in which the selection area may be adjusted around a more specific portion than originally (Figure 5 and 6, column 5, lines 24-67, column 6, lines 30-49 and column 6, line 66-column 7, line 12 of Madduri).

**Regarding dependent claims 10-11,** Madduri discloses a method in which the working document is a text document comprising text (Figure 5 and 6 of Madduri).

**Regarding independent claim 12,** Madduri discloses a method in which a first base text is selected from a document and displayed in a cell, and a second base text may also be selected and appear in a cell (Figure 5 and 6, column 5, lines 24-67, column 6, lines 30-49 and column 6, line 66-column 7, line 12 of Madduri). Madduri also discloses that a user can add an annotation the base texts (column 6, line 66-column 7, line 12 of Madduri). Madduri does not disclose a method in which the annotation is ruby text. However, Durst discloses that ruby text was a well-known annotation type (pages 2-5, Section 1.1 “What is a Ruby?” of Durst). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri with the teachings of Durst because it would have provided easy to find, short annotation in the immediate vicinity of content in publications.

**Regarding dependent claim 14,** Madduri disclosed a method in which the annotation text is added to the display of the document, which already contains the base text (Figure 5 and 6, column 5, lines 24-67, column 6, lines 30-49 and column 6, line 66-column 7, line 12 of Madduri).

**Regarding dependent claim 15,** Madduri discloses a method in which a first base text is selected from a document and displayed in a cell, and a second base text may also be selected and appear in a cell (Figure 5 and 6, column 5, lines 24-67, column 6, lines 30-49 and column 6, line 66-column 7, line 12 of Madduri). Madduri also discloses that a user can add an annotation the base texts (column 6, line 66-column 7, line 12 of Madduri). Madduri does not disclose a method in which the

annotation is ruby text. However, Durst discloses that ruby text was a well-known annotation type (pages 2-5, Section 1.1 “What is a Ruby?” of Durst). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri with the teachings of Durst because it would have provided easy to find, short annotation in the immediate vicinity of content in publications.

**Regarding independent claim 21,** the claim incorporates substantially similar subject matter as claim 1. Thus, the claim is rejected along the same rationale as claim 1.

**Regarding independent claim 24,** the claim incorporates substantially similar subject matter as claim 1. Thus, the claim is rejected along the same rationale as claim 1.

9. Claims 5-7, 13, 16, 22-23, and 25-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Madduri (US Patent Number 6,567,830, filed on February 12, 1999) in view of Durst et al. (hereinafter Durst, “Ruby Annotation” W3C Working Draft from December 17, 1999, included in IDS) as applied to claims 1, 12, 21 and 24 above, and further in view of Newbold et al. (hereinafter Newbold, US Patent Number 5,576,955, issued on November 19, 1996).

**Regarding dependent claims 5-7,** neither Madduri nor Durst disclose a method in which the base text cell and ruby text cell are in a separate window than the working document. However, Newbold discloses a method in which the base text and

annotation text are interfaced with in a separate window than the document, which causes a need for changing focus between the two windows in order to alter base text (Figures 5A and 5B and column 6, line 25-column 7, line 15 of Newbold). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri and Durst with the method of Newbold because it would have allowed annotations to be viewed as a list and in turn handled collectively.

**Regarding dependent claim 13,** neither Madduri nor Durst disclose a method in which the base text cells and ruby text cells are in a separate window than the working document. However, Newbold discloses a method in which the base text and annotation text are interfaced with in a separate window than the document, which causes a need for changing focus between the two windows in order to alter base text (Figures 5A and 5B and column 6, line 25-column 7, line 15 of Newbold). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri and Durst with the method of Newbold because it would have allowed annotations to be viewed as a list and in turn handled collectively.

**Regarding dependent claim 16,** Madduri discloses a method in which a first base text is selected from a document and displayed in a cell, and a second base text may also be selected and appear in a cell (Figure 5 and 6, column 5, lines 24-67, column 6, lines 30-49 and column 6, line 66-column 7, line 12 of Madduri). Madduri also discloses that a user can add an annotation the base texts (column 6, line 66-column 7, line 12 of Madduri). Madduri does not disclose a method in which the annotation is ruby text. However, Durst discloses that ruby text was a well-known

annotation type (pages 2-5, Section 1.1 "What is a Ruby?" of Durst). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri with the teachings of Durst because it would have provided easy to find, short annotation in the immediate vicinity of content in publications. Neither Madduri nor Durst disclose a method in which the document is searched for all selected base text and all of the base text is display in cells. However, Newbold discloses a method in which all of the designated base text in a document is found and displayed in cells (Figures 5A and 5B and column 6, line 25-column 7, line 15 of Newbold). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri and Durst with the method of Newbold because it would have allowed annotations to be viewed as a list and in turn handled collectively.

**Regarding dependent claims 22-23,** neither Madduri nor Durst disclose a method in which the base text cell and ruby text cell are in a separate window than the working document. However, Newbold discloses a method in which the base text and annotation text are interfaced with in a separate window than the document, which causes a need for changing focus between the two windows in order to alter base (Figures 5A and 5B and column 6, line 25-column 7, line 15 of Newbold). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri and Durst with the method of Newbold because it would have allowed annotations to be viewed as a list and in turn handled collectively.

**Regarding dependent claims 25-26,** neither Madduri nor Durst disclose a method in which the base text cell and ruby text cell are in a separate window than the working document. However, Newbold discloses a method in which the base text and annotation text are interfaced with in a separate window than the document, which causes a need for changing focus between the two windows in order to alter base text (Figures 5A and 5B and column 6, line 25-column 7, line 15 of Newbold). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri and Durst with the method of Newbold because it would have allowed annotations to be viewed as a list and in turn handled collectively.

**Regarding independent claim 27,** Madduri discloses a method in which a first base text is selected from a document and displayed in a cell, which allows this first base text to be altered, the alteration being the text that appears in the cell (Figure 5 and 6, column 5, lines 24-67, column 6, lines 30-49 and column 6, line 66-column 7, line 12 of Madduri). Madduri also discloses that a user can add an annotation the base text (column 6, line 66-column 7, line 12 of Madduri). Madduri does not disclose a method in which the annotation is ruby text. However, Durst discloses that ruby text was a well-known annotation type (pages 2-5, Section 1.1 “What is a Ruby?” of Durst). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri with the teachings of Durst because it would have provided easy to find, short annotation in the immediate vicinity of content in publications. Neither Madduri nor Durst disclose a method in which the base text cell and ruby text cell are in a separate window than the working document. However,

Newbold discloses a method in which the base text and annotation text are interfaced with in a separate window than the document, which causes a need for changing focus between the two windows in order to alter base text (Figures 5A and 5B and column 6, line 25-column 7, line 15 of Newbold). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri and Durst with the method of Newbold because it would have allowed annotations to be viewed as a list and in turn handled collectively.

10. Claims 8 and 17-20 rejected under 35 U.S.C. 103(a) as being unpatentable over Madduri (US Patent Number 6,567,830, filed on February 12, 1999) in view of Durst et al. (hereinafter Durst, "Ruby Annotation" W3C Working Draft from December 17, 1999, included in IDS) as applied to claims 1 above, and further in view of Collins et al. (hereinafter Collins, US Patent Number 5,594,642, issued January 14, 1997).

**Regarding dependent claim 8,** neither Madduri nor Durst disclose a method in which it is determined whether or not an automatic ruby determination function is on or off. However, Collins discloses a method in which an automatic annotation function is used and is considered off if the program is in manual mode, on if it is in automatic mode (column 3, line 64-column 4, line 22 and column 5, line 10-column 6, line 42 of Collins). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri and Durst with the method of Collins because it would have allowed the user the ability to choose between the more efficient automatic mode and the more customizable manual mode.

**Regarding independent claim 17,** Madduri discloses a method in which a first base text is selected from a document and displayed in a cell (Figure 5 and 6, column 5, lines 24-67, column 6, lines 30-49 and column 6, line 66-column 7, line 12 of Madduri). Madduri also discloses that a user can add an annotation the base text (column 6, line 66-column 7, line 12 of Madduri). Madduri does not disclose a method in which the annotation is ruby text. However, Durst discloses that ruby text was a well-known annotation type (pages 2-5, Section 1.1 “What is a Ruby?” of Durst). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri with the teachings of Durst because it would have provided easy to find, short annotation in the immediate vicinity of content in publications. Neither Madduri nor Durst disclose a method in which it is determined whether or not an automatic ruby determination function is on or off or parsing the text into individual words. However, Collins discloses a method in which an automatic annotation function is used and is considered off if the program is in manual mode, on if it is in automatic mode (column 3, line 64-column 4, line 22 and column 5, line 10-column 6, line 42 of Collins). Collins also discloses that the annotations may be found on a word-by-word basis as the document is parsed (column 5, line 10-column 6, line 42 of Collins). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri and Durst with the method of Collins because it would have allowed the user the ability to choose between the more efficient automatic mode and the more customizable manual mode.

**Regarding independent claim 18 and dependent claims 19 and 20,** Madduri discloses a method in which a first base text is selected from a document and displayed in a cell (Figure 5 and 6, column 5, lines 24-67, column 6, lines 30-49 and column 6, line 66-column 7, line 12 of Madduri). Madduri also discloses that a user can add an annotation the base text (column 6, line 66-column 7, line 12 of Madduri). Madduri does not disclose a method in which the annotation is ruby text. However, Durst discloses that ruby text was a well-known annotation type (pages 2-5, Section 1.1 “What is a Ruby?” of Durst). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri with the teachings of Durst because it would have provided easy to find, short annotation in the immediate vicinity of content in publications. Neither Madduri nor Durst disclose a method in which it is determined whether or not an automatic ruby determination function is on or off or parsing the text into individual words. However, Collins discloses a method in which an automatic annotation function is used and is considered off if the program is in manual mode, on if it is in automatic mode (column 3, line 64-column 4, line 22 and column 5, line 10-column 6, line 42 of Collins). Collins also discloses that the annotations may be found on a word-by-word basis as the document is parsed (column 5, line 10-column 6, line 42 of Collins). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Madduri and Durst with the method of Collins because it would have allowed the user the ability to choose between the more efficient automatic mode and the more customizable manual mode.

***Conclusion***

11. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

US Patent Number 5,604,897

US Patent Number 6,092,068

US Patent Number 6,424,982

US Patent Number 6,782,510

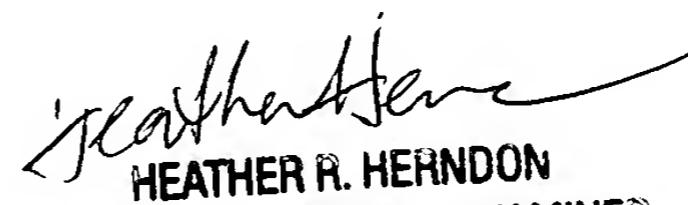
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joshua D Campbell whose telephone number is (571) 272-4133. The examiner can normally be reached on M-F (8:00 AM - 4:30 PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Heather Herndon can be reached on (571) 272-4136. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 2179

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

JDC  
March 17, 2005



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